

10.18.91

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.	8586
First Inventor	Ali Alwattari
Assignee	The Procter & Gamble Co.
Title	Substrates Utilizing Shear Responsive Micropockets for Storage and Delivery of Substances
Express Mail Label No.	EL618954622US

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- |  |   |
|--|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original, and a duplicate for fee processing)  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)   |
| 2. <input type="checkbox"/> Applicant claims small entity status<br>(see 37 CFR §1.27)   | 8. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> Paper<br>c. <input type="checkbox"/> Statement verifying identity of above copies |
| 3. <input checked="" type="checkbox"/> Specification Total Pages [24]<br>(preferred arrangement set forth below)<br>- Descriptive Title of the Invention<br>- Cross References to Related Applications<br>- Statement Regarding Fed sponsored R&D<br>- Reference to sequence listing, a table, or a computer program listing appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings (if filed)<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure |   |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC §113) Total Sheets [19]  |   |
| 5. Oath or Declaration Total pages [2]<br>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d))<br>(for continuation/divisional with Box 18 complete)<br>i. <input type="checkbox"/> <b>DELETION OF INVENTORS</b><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.63(d)(2) and 1.33(b).   |   |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR §1.76   |   |

**ACCOMPANYING APPLICATION PARTS**

- |  |  |
|--|--|
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  |  |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>(when there is an assignee)              |  |
| 11. <input type="checkbox"/> English Translation Document (if applicable)  |  |
| 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449 Citations             |  |
| 13. <input type="checkbox"/> Preliminary Amendment   |  |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)                              |  |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)                                      |  |
| 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. |  |
| 17. <input type="checkbox"/> Other: .....  |  |

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No. 1

Prior application information:

Examiner: \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here)  
27752

Name (Print/Type)	Bart S. Hersko	Registration No. (Attorney/Agent)	32,572
Signature	Bart S. Hersko	Date	October 17, 2001

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number

Confirmation Number

Filing Date

October 17, 2001

First Named Inventor

Ali Alwattari

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT (\$794.00)

Attorney Docket No.

8586

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480

Deposit Account Name The Procter &amp; Gamble Company

- ☒
- Charge Any Additional Fee Required Under status. See 37 CFR §127
- 
- 37 C.F.R. §§1.16 and 1.17

**FEE CALCULATION****1. BASIC FILING FEE - Large Entity**

Code (\$)	Fee Description	Fee Paid
101 710	Utility filing fee	[740.00]
106 320	Design filing fee	[ ]
107 490	Plant filing fee	[ ]
108 710	Reissue filing fee	[ ]
114 150	Provisional filing fee	[ ]

SUBTOTAL (1) (\$)[740.00]

**2. EXTRA CLAIM FEES - Large Entity**

	Extra Claims	Below Fee	Fee Paid
Total Claims	[23] - 20** = [3]	x [18]	= [54.00]
Independent Claims	[3] - 3** = [3]	x [0]	= [0]
Multiple Dependent	[0]	= [0]	

\*\* or number previously paid, if greater; For Reissues, see below

Code (\$)	Fee Description
103 18	Claims in excess of 20
102 80	Independent claims in excess of 3
104 270	Multiple dependent claim, if not paid
109 80	**Reissue independent claims over original patent
110 18	**Reissue claims in excess of 20 & over original patent

SUBTOTAL (2) (\$)[54.00]

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Code (\$)	Fee Description	Fee Paid
105 130	Surcharge-late filing fee or oath	[ ]
127 50	Surcharge-late provisional filing fee or cover sheet	[ ]
139 130	Non-English specification	[ ]
147 2,520	For filing a request for <i>ex parte</i> reexamination	[ ]
112 920*	Requesting publication of SIR prior to Examiner's action	[ ]
113 1,840*	Requesting publication of SIR after Examiner's action	[ ]
115 110	Extension for reply within 1 <sup>st</sup> month	[ ]
116 390	Extension for reply within 2 <sup>nd</sup> month	[ ]
117 890	Extension for reply within 3 <sup>rd</sup> month	[ ]
118 1,390	Extension for reply within 4 <sup>th</sup> month	[ ]
128 1,890	Extension for reply within 5 <sup>th</sup> month	[ ]
119 310	Notice of Appeal	[ ]
120 310	Filing a brief in support of an appeal	[ ]
121 270	Request for oral hearing	[ ]
138 1,510	Petition to institute a public use proceeding	[ ]
140 110	Petition to revive - unavoidable	[ ]
141 1,240	Petition to revive - unintentional	[ ]
142 1,240	Utility issue fee (or reissue)	[ ]
143 440	Design issue fee	[ ]
144 600	Plant issue fee	[ ]
122 130	Petitions to the Commissioner	[ ]
123 50	Petitions related to provisional applications	[ ]
126 180	Submission of Information Disclosure Statement	[ ]
146 710	Filing a submission after final rejection (37 CFR § 1.129(a))	[ ]
149 710	For each additional invention to be examined (37 CFR § 1.129(b))	[ ]
179 710	Request for Continued Examination (RCE)	[ ]
169 710	Request for expedited examination of a design application	[ ]

Other fee (specify) [ ]

Other fee (specify) [ ]

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) [ ]

**SUBMITTED BY**

Name (Print/Type)

Bart S. Hersko

Registration No.  
(Attorney/Agent)

32,572

**Complete (if applicable)**

Telephone

(513) 634-9135

Signature

Bart S. Hersko

Date

10/17/2001

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